STRUCTURES OF DISTRIBUTIONS OF BINARY SEMI-ISOLATING FORMULAS: (1) DETERMINISTIC AND ABSORBING STRUCTURES, (2) STRUCTURES FOR ACYCLIC GRAPHS (with E.V. Ovchinnikova)

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S.V. Sudoplatov STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

Definition (A. Pillay). Let \mathcal{M} be a model of a theory T, \bar{a} and \bar{b} be tuples in \mathcal{M} , A be a subset of M. The tuple \bar{a} semi-isolates the tuple \bar{b} over the set A if there exists a formula $\varphi(\bar{a}, \bar{y}) \in \operatorname{tp}(\bar{b}/A\bar{a})$ for which $\varphi(\bar{a}, \bar{y}) \vdash \operatorname{tp}(\bar{b}/A)$ holds.

In this case we say that the formula $\varphi(\bar{a}, \bar{y})$ (with parameters in A) witnesses that \bar{b} is semi-isolated over \bar{a} with respect to A.

Similarly, a tuple \bar{a} isolates a tuple \bar{b} over A if there exists a formula $\varphi(\bar{a}, \bar{y}) \in \operatorname{tp}(\bar{b}/A\bar{a})$ for which $\varphi(\bar{a}, \bar{y}) \vdash \operatorname{tp}(\bar{b}/A)$ and $\varphi(\bar{a}, \bar{y})$ is a principal (i. e., isolating) formula.

In this case we say that the formula $\varphi(\bar{a}, \bar{y})$ (with parameters in A) witnesses that \bar{b} is isolated over \bar{a} with respect to A.

If \bar{a} (semi-)isolates \bar{b} over \varnothing , we simply say that \bar{a} (semi-)isolates \bar{b} ; and if a formula $\varphi(\bar{a}, \bar{y})$ witnesses that \bar{a} (semi-)isolates \bar{b} over \varnothing then we say that $\varphi(\bar{a}, \bar{y})$ witnesses that \bar{a} (semi-)isolates \bar{b} . For a family $R \subset S(T)$ of 1-types we denote by I_R (in \mathcal{M}) the set

 $\{(a, b) \mid \operatorname{tp}(a), \operatorname{tp}(b) \in R \text{ and } a \text{ isolates } b\}$

and by SI_R (in \mathcal{M}) the set

 $\{(a, b) \mid tp(a), tp(b) \in R \text{ and } a \text{ semi-isolates } b\}.$

Clearly, $I_R \subseteq SI_R$ and, for any set of realizations of types in R, the relations I_R and SI_R are reflexive. The relation of semi-isolation on the set of tuples in an arbitrary model is transitive and, in particular, any relation SI_R is transitive. At the same time I_R may be non-transitive.

$$I_p \rightleftharpoons I_{\{p\}}, \ \operatorname{SI}_p \rightleftharpoons \operatorname{SI}_{\{p\}}.$$

Let *T* be a complete theory, $\mathcal{M} \models T$. Consider types $p(x), q(y) \in S(\emptyset)$, realized in \mathcal{M} , and all (p, q)-preserving (p, q)-semi-isolating, $(p \rightarrow q)$ -, or $(q \leftarrow p)$ -formulas $\varphi(x, y)$ of *T*, i. e., formulas for which there is $a \in M$ such that $\models p(a)$ and $\varphi(a, y) \vdash q(y)$. Now, for each such a formula $\varphi(x, y)$, we define a binary relation $R_{p,\varphi,q} \rightleftharpoons \{(a, b) \mid \mathcal{M} \models p(a) \land \varphi(a, b)\}$. If $(a, b) \in R_{p,\varphi,q}, (a, b)$ is called a (p, φ, q) -arc. If $\varphi(a, y)$ is principal (over a), the (p, φ, q) -arc (a, b) is also principal.

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If $\varphi(x, y)$ is $(p \leftrightarrow q)$ -formula, i.e., both $(p \rightarrow q)$ - and $(q \rightarrow p)$ -formula, and $\models p(a) \cup \{\varphi(a, b)\} \cup q(b)$ then set $[a, b] \rightleftharpoons \{(a, b), (b, a)\}$ is said to be a (p, φ, q) -edge. If the (p, φ, q) -edge [a, b] consists of principal (p, φ, q) - and $(q, \varphi(y, x), p)$ -arcs then [a, b] is a principal (p, φ, q) - edge. (p, φ, q) -arcs and (p, φ, q) -edges are called arcs and edges respectively if we say about fixed or some formula $\varphi(x, y)$. If (a, b)is a principal arc and (b, a) is not a principal arc (on any formula) then (a, b) is called *irreversible*.

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For types $p(x), q(y) \in S(\emptyset)$, we denote by $\operatorname{PF}(p, q)$ the set

 $\{\varphi(x,y) \mid \varphi(a,y) \text{ is a principal formula, } \varphi(a,y) \vdash q(y), \text{ where } \models p(a)\}.$

Let PE(p, q) be the set of pairs of formulas $(\varphi(x, y), \psi(x, y)) \in PF(p, q)$ such that for any (some) realization a of p the sets of solutions for $\varphi(a, y)$ and $\psi(a, y)$ coincide. Clearly, PE(p,q) is an equivalence relation on the set PF(p,q). Notice that each PE(p, q)-class E corresponds to either a principal edge or to an irreversible principal arc connecting realizations of p and q by some (any) formula in E. Thus the quotient PF(p,q)/PE(p,q) is represented as a disjoint union of sets PFS(p, q) and PFN(p, q), where PFS(p, q) consists of PE(p, q)-classes corresponding to principal edges and PFN(p, q) consists of PE(p, q)-classes corresponding to irreversible principal arcs.

Let T be a complete theory without finite models, $U = U^- \dot{\cup} \{0\} \dot{\cup} U^+$ be an alphabet of cardinality $\geq |S(T)|$ and consisting of *negative elements* $u^- \in U^-$, *positive elements* $u^+ \in U^+$, and zero 0. As usual, we write u < 0 for any $u \in U^-$ and u > 0 for any $u \in U^+$.¹ The set $U^- \cup \{0\}$ is denoted by $U^{\leq 0}$ and $U^+ \cup \{0\}$ is denoted by $U^{\geq 0}$. Elements of U are called *labels*.

¹If U is at most countable, we assume that U is a subset of the set \mathbb{Z} of integers.

Let $\nu(p,q)$: $PF(p,q)/PE(p,q) \rightarrow U$ be injective *labelling* functions, p(x), $q(y) \in S(\emptyset)$, for which negative elements correspond to the classes in PFN(p,q)/PE(p,q) and non-negative elements correspond to the classes in PFS(p,q)/PE(p,q) such that 0 is defined only for p = q and is represented by the formula $(x \approx y), \nu(p) \rightleftharpoons \nu(p, p)$. We additionally assume that $\rho_{\nu(p)} \cap \rho_{\nu(q)} = \{0\}$ for $p \neq q$ (where, as usual, we denote by ρ_f the image of the function f) and $\rho_{\nu(p,q)} \cap \rho_{\nu(p',q')} = \emptyset$ if $p \neq q$ and $(p,q) \neq (p',q')$. Labelling functions with the properties above as well families of these functions are said to be *regular*. Below we shall consider only regular labelling functions and their regular families. We denote by $\theta_{p,u,q}(x,y)$ formulas in PF(p,q) with a label $u \in \rho_{\nu(p,q)}$. If the type p is fixed and p = q then the formula $\theta_{p,u,q}(x,y)$ is denoted by $\theta_u(x,y)$.

Algebra of distributions for binary isolating formulas

For types $p_1, p_2, \ldots, p_{k+1} \in S^1(\emptyset)$ and sets $X_1, X_2, \ldots, X_k \subseteq U$ of labels we denote by

$$P(p_1, X_1, p_2, X_2, \dots, p_k, X_k, p_{k+1})$$

the set of all labels $u \in U$ corresponding to formulas $\theta_{p_1,u,p_{k+1}}(x,y)$ satisfying, for realizations *a* of p_1 and some $u_1 \in X_1, \ldots, u_k \in X_k$, the following condition:

$$\theta_{p_1,u,p_{k+1}}(a,y) \vdash \theta_{p_1,u_1,p_2,u_2,\dots,p_k,u_k,p_{k+1}}(a,y),$$

where

$$\begin{aligned} \theta_{p_1,u_1,p_2,u_2,...,p_k,u_k,p_{k+1}}(x,y) &\rightleftharpoons \\ &\rightleftharpoons \exists x_2, x_3, \ldots, x_{k-1}, x_k(\theta_{p_1,u_1,p_2}(x,x_2) \land \theta_{p_2,u_2,p_3}(x_2,x_3) \land \ldots \\ &\ldots \land \theta_{p_{k-1},u_{k-1},p_k}(x_{k-1},x_k) \land \theta_{p_k,u_k,p_{k+1}}(x_k,y)). \end{aligned}$$

Thus the Boolean $\mathcal{P}(U)$ of U is the universe of an *algebra of distributions of binary isolating formulas* with k-ary operations

$$P(p_1, \cdot, p_2, \cdot, \dots, p_k, \cdot, p_{k+1}), \quad \text{and} \quad \text{and$$

Algebra of distributions for binary isolating formulas

If each set X_i is a singleton consisting of an element u_i then we use u_i instead of X_i in $P(p_1, X_1, p_2, X_2, \dots, p_k, X_k, p_{k+1})$ and write

$$P(p_1, u_1, p_2, u_2, \ldots, p_k, u_k, p_{k+1}).$$

If all types p_i equal to a type p then we write $P_p(X_1, X_2, \ldots, X_k)$ and $P_p(u_1, u_2, \ldots, u_k)$ as well as $\lfloor X_1, X_2, \ldots, X_k \rfloor_p$ and $\lfloor u_1, u_2, \ldots, u_k \rfloor_p$ instead of

$$P(p_1, X_1, p_2, X_2, \dots, p_k, X_k, p_{k+1})$$

and

$$P(p_1, u_1, p_2, u_2, \ldots, p_k, u_k, p_{k+1})$$

respectively. We omit the index \cdot_p if the type p is fixed. In this case, we write $\theta_{u_1,u_2,...,u_k}(x, y)$ instead of $\theta_{p,u_1,p,u_2,...,p,u_k,p}(x, y)$.

THEOREM (I.V.Shulepov – S.)

For any complete theory T, any type $p \in S(T)$ having the model \mathcal{M}_p , and the regular labelling function $\nu(p)$, any operation $\mathcal{P}_p(\cdot, \cdot, \ldots, \cdot)$ on the set $\mathcal{P}(\rho_{\nu(p)}) \setminus \{\varnothing\}$ is interpretable by a term of the groupoid $\mathfrak{P}_{\nu(p)} \rightleftharpoons \langle \mathcal{P}(\rho_{\nu(p)}) \setminus \{\varnothing\}; \lfloor \cdot, \cdot \rfloor \rangle$. The groupoid $\mathfrak{P}_{\nu(p)}$ has the unit $\{0\}$ and, having right associativity, is a monoid.

A structure $\mathfrak{P}_{\nu(p)}$ is called (*almost*) *deterministic* if the set $\lfloor u_1, u_2 \rfloor$ is a singleton (is nonempty and finite) for any $u_1, u_2 \in \rho_{\nu(p)}$. Any deterministic structure $\mathfrak{P}_{\nu(p)}$ is a monoid (being almost deterministic). It is generated by the monoid $\mathfrak{P}'_{\nu(p)} = \langle \rho_{\nu(p)}; \odot \rangle$, where $\lfloor u, v \rfloor = \{u \odot v\}$ for $u, v \in \rho_{\nu(p)}$. A Hasse diagram is presented in Figure 1 illustrating the links of the structure $\mathfrak{P}_{\nu(p)}$ with structures above, being restrictions of $\mathfrak{P}_{\nu(p)}$ to subalphabets of U. Here the superscripts $\cdot^{\leq 0}$ and $\cdot^{\geq 0}$ point out on restrictions of $\mathfrak{P}_{\nu(p)}$ to the sets of non-positive and non-negative elements respectively, the subscripts \cdot_d and \cdot_{ad} indicate the sets of deterministic and almost deterministic elements; $\mathfrak{G}_{\nu(p)}$ is a submonoid of monoid $\mathfrak{P}_{\nu(p),d}$ consisting of all non-negative deterministic elements u in $\rho_{\nu(p)}$, for which u^{-1} are also deterministic;

the monoid $(\mathfrak{G}_{\nu(p)})'$ is a group.

Just $\mathfrak{P}_{\nu(p)}$ and $\mathfrak{P}_{\nu(p)}^{\leq 0}$ may not be monoids.

Hasse diagram



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Let $U = U^- \cup \{0\} \cup U^+$ be an alphabet consisting of a set U^- of *negative elements*, a set U^+ of *positive elements*, and zero 0. As above we write u < 0 for any element $u \in U^-$, u > 0 for any element $u \in U^+$, and $u \cdot v$ instead of $\{u\} \cdot \{v\}$ considering an operation \cdot on the set $\mathcal{P}(U) \setminus \{\varnothing\}$.

I-groupoids

A groupoid $\mathfrak{P} = \langle \mathcal{P}(U) \setminus \{ \varnothing \}; \cdot \rangle$ is called an *I-groupoid* if it satisfies the following conditions:

• the set $\{0\}$ is the unit of the groupoid \mathfrak{P} ;

• the operation \cdot of the groupoid \mathfrak{P} is generated by the function \cdot on elements in U such that every elements $u, v \in U$ define a nonempty set $(u \cdot v) \subseteq U$: for any sets $X, Y \in \mathcal{P}(U) \setminus \{\varnothing\}$ the following equality holds:

$$X \cdot Y = \bigcup \{x \cdot y \mid x \in X, y \in Y\};$$

• if u < 0 then the sets $u \cdot v$ and $v \cdot u$ consist of negative elements for any $v \in U$;

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• if u > 0 and v > 0 then the set $u \cdot v$ consists of non-negative elements;

• for any u > 0 there is the unique *inverse* element $u^{-1} > 0$ such that $0 \in (u \cdot u^{-1}) \cap (u^{-1} \cdot u)$;

• if a positive element u belongs to a set $v_1 \cdot v_2$ then u^{-1} belongs to $v_2^{-1} \cdot v_1^{-1}$;

• for any elements $u_1, u_2, u_3 \in U$ the following inclusion holds:

$$(u_1 \cdot u_2) \cdot u_3 \supseteq u_1 \cdot (u_2 \cdot u_3),$$

and the strict inclusion

$$(u_1 \cdot u_2) \cdot u_3 \supset u_1 \cdot (u_2 \cdot u_3)$$

may be satisfied only for $u_1 < 0$ and $|u_2 \cdot u_3| \ge \omega$;

• the groupoid \mathfrak{P} contains the *deterministic* subgroupoid $\mathfrak{P}_d^{\geq 0}$ (being a monoid) with the universe $\mathcal{P}(U_d^{\geq 0}) \setminus \{\varnothing\}$, where

$$U_d^{\geq 0} = \{ u \in U^{\geq 0} \mid u^{-1} \cdot u = \{0\} \};$$

any set $u \cdot v$ is a singleton for $u, v \in U_d^{\geq 0}$.

By the definition each *I*-groupoid \mathfrak{P} contains *I*-subgroupoids $\mathfrak{P}^{\leq 0}$ and $\mathfrak{P}^{\geq 0}$ with the universes $\mathcal{P}(U^- \cup \{0\}) \setminus \{\varnothing\}$ and $\mathcal{P}(U^+ \cup \{0\}) \setminus \{\varnothing\}$ respectively. The structure $\mathfrak{P}^{\geq 0}$ is a monoid.

THEOREM (I.V.Shulepov – S.)

For any (at most countable) I-groupoid \mathfrak{P} , there is a (small) theory T with a type $p(x) \in S(T)$ and a regular labelling function $\nu(p)$ such that $\mathfrak{P}_{\nu(p)} = \mathfrak{P}$.

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The results are generalized for arbitrary family of 1-types forming partial groupoids of isolating formulas.

$\mathrm{SICF}(p,q)$

For types $p(x), q(y) \in S(\emptyset)$, we denote by SICF(p, q) the set of $(p \rightarrow q)$ -formulas $\varphi(x, y)$ such that $\{\varphi(a, y)\}$ is consistent for $\models p(a)$. Let SICE(p, q) be the set of pairs of formulas $(\varphi(x, y), \psi(x, y)) \in SICF(p, q)$ such that for any (some) realization a of p the sets of solutions for $\varphi(a, y)$ and $\psi(a, y)$ coincide. Clearly, SICE(p, q) is an equivalence relation on the set SICF(p, q). Notice that each SICE(p, q)-class *E* corresponds to either a set of (p, φ, q) -edges, or a set of irreversible (p, φ, q) -arcs, or simultaneously a set of (p, φ, q) -edges and of irreversible (p, φ, q) -arcs linking realizations of p and q by any (some) formula φ in *E*. Thus the quotient SICF(p, q)/SICE(p, q) is represented as a disjoint union of sets SICFE(p, q), SICFA(p, q), and SICFM(p, q), where SICFE(p, q) consists of SICE(p, q)-classes corresponding to sets of edges, SICFA(p, q) consists of SICE(p, q)-classes corresponding to sets of irreversible arcs, and SICFM(p, q) consists of SICE(p, q)-classes corresponding to sets containing edges and irreversible arcs.

STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

Let *T* be a complete theory without finite models, $U = U^- \dot{\cup} \{0\} \dot{\cup} U^+ \dot{\cup} U'$ be an alphabet of cardinality $\geq |S(T)|$ and consisting of *negative elements* $u^- \in U^-$, *positive elements* $u^+ \in U^+$, *neutral elements* $u' \in U'$, and zero 0. As usual, we write u < 0 for any $u \in U^-$ and u > 0 for any $u \in U^+$. The set $U^- \cup \{0\}$ is denoted by $U^{\leq 0}$ and $U^+ \cup \{0\}$ is denoted by $U^{\geq 0}$.

Let $\nu(p,q)$: SICF(p,q)/SICE $(p,q) \rightarrow U$ be injective *labelling*. functions, $p(x), q(y) \in S(\emptyset)$, for which negative elements correspond to the classes in SICFA(p, q)/SICE(p, q), positive elements and 0 correspond to the classes in SICFE(p,q)/SICE(p,q) such that 0 is defined only for p = q and is represented by the formula $(x \approx y)$, and neutral elements code the classes in SICFM(p, q)/SICE(p, q), $\nu(p) \rightleftharpoons \nu(p, p)$. We additionally assume that $\rho_{\nu(p)} \cap \rho_{\nu(q)} = \{0\}$ for $p \neq q$ where, as usual, we denote by ρ_f the image of the function f) and $\rho_{\nu(p,q)} \cap \rho_{\nu(p',q')} = \emptyset$ if $p \neq q$ and $(p,q) \neq (p',q')$. Labelling functions with the properties above as well families of these functions are said to be *regular*. Below we shall consider only regular labelling functions and their regular families.

The labels, corresponding to isolating formulas, are said to be isolating whereas each label in $\bigcup_{p,q\in S^1(\varnothing)} \rho_{\nu(p,q)}$ is semi-isolating. By the definition, each isolating label belongs to $U^- \dot{\cup} \{0\} \dot{\cup} U^+$, i. e., it is not neutral. We denote by $\theta_{p,u,q}(x, y)$ formulas in SICF(p, q) with a label $u \in \rho_{\nu(p,q)}$. If the type p is fixed and p = q then the formula $\theta_{p,u,q}(x, y)$ is denoted by $\theta_u(x, y)$. Similarly algebras of distributions for binary isolating formulas, the Boolean $\mathcal{P}(U)$ of U is the universe of an *algebra* \mathfrak{A} *of distributions of binary semi-isolating formulas* with *k*-ary operations

 $SI(p_1, \cdot, p_2, \cdot, \ldots, p_k, \cdot, p_{k+1}),$

where $p_1, \ldots, p_{k+1} \in S^1(\emptyset)$. This algebra has a natural restriction to any family $R \subseteq S^1(\emptyset)$ as well as to the algebras of distributions of binary *isolating* formulas.

Preordered algebra of distributions for binary semi-isolating formulas

For the set U of labels in the algebra \mathfrak{A} of binary semi-isolating formulas of theory T, we define the following relation \trianglelefteq : if $u, v \in U$ then $u \trianglelefteq v$ if and only if u = v, or $u, v \in \rho_{\nu(p,q)}$ for some types $p, q \in S^1(\varnothing)$ and $\theta_{p,u,q}(a, y) \vdash \theta_{p,v,q}(a, y)$ for some (any) realization a of p. If $u \trianglelefteq v$ and $u \neq v$ we write $u \triangleleft v$. The preordered algebra $\langle \mathfrak{A}; \trianglelefteq \rangle$ equipped with binary operations $(p, (\cdot \tau \cdot), q), \tau \in \{\lor, \land, \circ\}$, and $(p, (\cdot \land \neg \cdot), q), p, q \in S^1(\emptyset)$, is called a *preordered algebra with relative set-theoretic operations and the composition* or briefly a POSTC-algebra. We denote by $\mathfrak{M}_{\nu(R)}$ the restriction of POSTC-algebra to the set of labels for a non-empty family R of 1-types.

For triples (p, u, q), where $p, q \in S^1(\emptyset)$, $u \in U \cup \{\emptyset\}$, we define inductively the rank si(p, u, q) of semi-isolation: (1) si(p, u, q) = 0 if $u \notin \rho_{\nu(p,q)}$; (2) si(p, u, q) ≥ 1 if $u \in \rho_{\nu(p,q)}$; (3) for a positive ordinal α , si $(p, u, q) \ge \alpha + 1$ if there is a set $\{v_i \mid i \in \omega\}$ of pairwise inconsistent labels such that $v_i \triangleleft u$ and $si(p, v_i, q) > \alpha, i \in \omega;$ (4) for a limit ordinal α , si $(p, u, q) \ge \alpha$ if si $(p, u, q) \ge \beta$ for any $\beta \in \alpha$. As usual, we write $si(p, u, q) = \alpha$ if $si(p, u, q) \ge \alpha$ and $\operatorname{si}(p, u, q) \not\geq \beta$ for $\alpha \in \beta$; $\operatorname{si}(p, u, q) \rightleftharpoons \infty$ if $\operatorname{si}(p, u, q) \geq \alpha$ for any ordinal α .

If types p and q are fixed, we write si(u) instead of si(p, u, q) and this value is said to be the *rank of semi-isolation* or the si-*rank* of the label u or of the element $u = \emptyset$ (with respect to the pair (p,q)). For a formula $\theta_{p,u,q}(x, y)$ we set $si(\theta_{p,u,q}(x, y)) \rightleftharpoons si(u)$.

Clearly, if the theory is small then the si-rank of any label is an ordinal (having a label u with $si(p, u, q) = \infty$, we get continuum many complete types $r(x, y) \supset p(x) \cup q(y)$).

PROPOSITION

Each si-rank in a theory T is either equal to ∞ or less than $\min\{|T|^+, (MR(x \approx x) + 1)^+\}$. If Morley rank $MR(x \approx x)$ is equal to an ordinal α then any si-rank in T is not more than $\alpha + 1$.

Similarly Morley degree we define degrees of semi-isolation for labels.

Hierarchy of structures

In the following Figure, the fragments of Hasse diagram are presented illustrating the links of the structure $\mathfrak{SI} \rightleftharpoons \mathfrak{SI}_{\nu(p)}$ with structures above, being restrictions of \mathfrak{SI} to subalphabets of U. Here the superscripts $\cdot^{\leq 0}$ and $\cdot^{\geq 0}$ point out on restrictions of \mathfrak{SI} to the sets $U^{\leq 0}$ and $U^{\geq 0}$ respectively, and the subscripts to the upper estimates for si-ranks and si-degrees of labels. In Figure 1, a, a hierarchy of structures \mathfrak{SI}_{α} , $\alpha \leq \operatorname{si}(p)$, is depicted starting with the trivial substructure; in Figure 1, b, links between substructures of $\mathfrak{SI}_{\nu(p),1}$ are presented; in Figure 1, c, links between substructures of $\mathfrak{SI}_{\alpha+1}$ for $1 \leq \alpha < \operatorname{si}(p)$ are shown. For a limit ordinal $\beta \leq \operatorname{si}(p)$, the Hasse diagram for substructures of \mathfrak{SI}_{β} is obtained by union of presented diagrams for $\alpha < \beta$. If an ordinal $\beta < si(p)$ is not limit, the Hasse diagram corresponds to the union of presented diagrams for $\alpha < \beta$ with the removal of structures $\mathfrak{SI}_{\overline{\beta}\pm1}^{\leq 0}$ and $\mathfrak{SI}_{\overline{\beta}\pm1}^{\geq 0}$.

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Hierarchy of structures



S.V. Sudoplatov STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

Similarly *I*-groupoids we axiomatize the class of POSTC-monoids producing binary semi-isolating structures for 1-types and for families of 1-types.

THEOREM

For any (at most countable and having an ordinal $\sup\{si(u) \mid u \in U\}$)) POSTC-monoid \mathfrak{M} there is a (small) theory T with a type $p(x) \in S(T)$ and a regular labelling function $\nu(p)$ such that $\mathfrak{M}_{\nu(p)} = \mathfrak{M}$.

Now we define some opposite cases to determinacies.

An $I_{\mathcal{R}}$ -structure $P_{\nu(\mathcal{R})}$ is *n*-absorbing, for $n \in \omega \setminus \{0\}$, if whenever u_1, \ldots, u_n are nonzero labels in $\rho_{\nu(p_1,p_2)}, \ldots, \rho_{\nu(p_n,p_{n+1})}$ respectively, $p_1, \ldots, p_{n+1} \in \mathcal{R}$, the following conditions hold: • if some u_i is negative then $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1})$ is equal to the set $\rho_{\nu(p_1,p_{n+1})}^-$ of all negative labels in $\rho_{\nu(p_1,p_{n+1})}$; • if all u_i are positive then $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1})$ contains the set $\rho_{\nu(p_1,p_{n+1})}^+$ of all positive labels in $\rho_{\nu(p_1,p_{n+1})}$ (i. e., $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) = \rho_{\nu(p_1,p_{n+1})}^+$ or $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) = \rho_{\nu(p_1,p_{n+1})}^+ \cup \{0\}$).

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An $I_{\mathcal{R}}$ -structure $P_{\nu(\mathcal{R})}$ is almost *n*-absorbing, for $n \in \omega \setminus \{0\}$, if whenever u_1, \ldots, u_n are nonzero labels in $\rho_{\nu(p_1, p_2)}, \ldots, \rho_{\nu(p_n, p_{n+1})}$ respectively, $p_1, \ldots, p_{n+1} \in \mathcal{R}$, the following conditions hold: • if some u_i is negative then $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) \setminus \rho_{\nu(p_1, p_{n+1})}^-$ is finite; • if all u_i are positive then $P(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) \setminus \rho_{\nu(p_1, p_{n+1})}^+$ is finite. A POSTC_{*R*}-structure \mathfrak{M} is *n*-absorbing, for $n \in \omega \setminus \{0\}$, if whenever u_1, \ldots, u_n are nonzero labels in $\rho_{\nu(p_1, p_2)}, \ldots, \rho_{\nu(p_n, p_{n+1})}$ respectively, $p_1, \ldots, p_{n+1} \in \mathcal{R}$, the following conditions hold: • if some u_i is negative then SI $(p_1, u_1, p_2, u_2, \dots, u_n, p_{n+1})$ is equal to the set $\rho_{\nu(p_1,p_{n+1})}^-$ of all negative labels in $\rho_{\nu(p_1,p_{n+1})}$; • if all u_i are positive then SI $(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1})$ contains the set $\rho^+_{\nu(p_1,p_{n+1})}$ of all negative labels in $\rho_{\nu(p_1,p_{n+1})}$; • if the labels u_i are positive or belong to U' and some u_i belongs to U' then SI $(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1})$ contains the set $(\rho_{\nu(p_1,p_{n+1})}^+)'$ of all labels of $U^+ \cup U'$ laying in $\rho_{\nu(p_1,p_{n+1})}$.

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A POSTC_R-structure \mathfrak{M} is almost n-absorbing, for $n \in \omega \setminus \{0\}$, if whenever u_1, \ldots, u_n are nonzero labels in $\rho_{\nu(p_1, p_2)}, \ldots, \rho_{\nu(p_n, p_{n+1})}$ respectively, $p_1, \ldots, p_{n+1} \in \mathcal{R}$, the following conditions hold: • if some u_i is negative then SI $(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) \setminus \rho_{\nu(p_1, p_{n+1})}^-$ is finite; • if all u_i are positive then SI $(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) \setminus \rho_{\nu(p_1, p_{n+1})}^+$ is finite; • if the labels u_i are positive or belong to U' and some u_i belongs to U' then SI $(p_1, u_1, p_2, u_2, \ldots, u_n, p_{n+1}) \setminus (\rho_{\nu(p_1, p_{n+1})}^-)'$ is finite.

PROPOSITION

For all $n \in \omega \setminus \{0\}$, if an associative structure \mathfrak{M} is (almost) *n*-absorbing then \mathfrak{M} is (almost) (n + 1)-absorbing.

S.V. Sudoplatov STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

Now we denote by $AbI_{\mathcal{R},n}$ ($AbSI_{\mathcal{R},n}$, $AAbI_{\mathcal{R},n}$, $AAbSI_{\mathcal{R},n}$, respectively) the class of associative *n*-absorbing $I_{\mathcal{R}}$ -structures (*n*-absorbing $SI_{\mathcal{R}}$ -structures, almost *n*-absorbing $I_{\mathcal{R}}$ -structures, almost *n*-absorbing $SI_{\mathcal{R}}$ -structures). By Proposition, we have inclusions $AbI_{\mathcal{R},n} \subseteq AAbI_{\mathcal{R},n}$, $AbSI_{\mathcal{R},n} \subseteq AAbSI_{\mathcal{R},n}$, $AbI_{\mathcal{R},n} \subseteq AbI_{\mathcal{R},n+1}$, $AbSI_{\mathcal{R},n} \subseteq AbSI_{\mathcal{R},n+1}$, $AAbI_{\mathcal{R},n} \subseteq AAbI_{\mathcal{R},n+1}$, $AAbSI_{\mathcal{R},n} \subseteq AAbSI_{\mathcal{R},n+1}$, $n \in \omega \setminus \{0\}$.

All these inclusions are strict.

Let $\Gamma = \langle X, Q \rangle$ be a graph, and *a* be a vertex of Γ . Recall that the set $\bigtriangledown_Q(a) = \bigcup_{n \in \omega} Q^n(a, \Gamma)$ (respectively $\bigtriangleup_Q(a) = \bigcup_{n \in \omega} Q^n(\Gamma, a)$) is a *upper (lower) Q*-cone of *a*. We call the *Q*-cones $\bigtriangledown_Q(a)$ and $\bigtriangleup_Q(a)$ by *cones* and denote by $\bigtriangledown(a)$ and $\bigtriangleup(a)$ respectively if *Q* is fixed.

A countable acyclic directed graph $\Gamma = \langle X; Q \rangle$ is said to be *powerful* if the following conditions hold:

(a) the automorphism group of Γ is *transitive*, that is any two vertices are connected by an automorphism;

(b) the formula Q(x, y) is equivalent in the theory $Th(\Gamma)$ to a disjunction of principal formulas;

(c) $\operatorname{acl}(\{a\}) \cap \bigtriangleup_Q(a) = \{a\}$ for each vertex $a \in X$; (d) $\Gamma \models \forall x, y \exists z (Q(z, x) \land Q(z, y))$ (the *pairwise intersection property*). It is known that powerful graphs as well as, in fact, associated structures $\mathfrak{P}_{\nu(p)}$ play a key role for the constructions of series of Ehrenfeucht theories.

Recall that a monoid $\mathfrak{P}_{\nu(p)}$ is *special* if $\rho_{\nu(p)} \cap U^- \neq \emptyset$ and for any elements $u_1, u_2, \ldots, u_n, v \in \rho_{\nu(p)}$, where $u_1 < 0, \ldots, u_n < 0, v \ge 0$, and for any element $u' \in u_1 u_2 \ldots u_n v$ there is an element $v' \ge 0$ such that $u' \in v' u_1 u_2 \ldots u_n$.

A special monoid $\mathfrak{P}_{\nu(p)}$ is called PIP-special if each negative element $u \in \rho_{\nu(p)}$ is a PIP-element, i. e., $u \in uv$ for any $v \in \rho_{\nu(p)}$.

Having a special monoid (for a special small theory T) the process of construction of a limit model over a type p is reduced to a sequence of θ_{u_n} -extensions, $u_n < 0$, $n \in \omega$, of prime models over realizations of p: for any limit model \mathcal{M} over p there is an elementary chain $(\mathcal{M}(a_n))_{n\in\omega}$, $\models p(\bar{a}_n)$, such that its union forms \mathcal{M} and $\models \theta_{u_n}(a_{n+1}, a_n)$ is satisfied, $n \in \omega$. In this case the isomorphism type of \mathcal{M} is defined by the sequence $(u_n)_{n\in\omega}$.

If a PIP-special monoid exists then, by adding of multiplace predicates, each prime model over a tuple of realizations of p is transformed to a model isomorphic to \mathcal{M}_p . Thus, the type p is connected with the unique, up to isomorphism, prime model over realizations of p and with some (finite, countable, or continuum) number of limit models over p, which is defined by some quotient for the set of sequences $(u_n)_{n \in \omega}$, $u_n \in U^- \cap \rho_{\nu(p)}$, $n \in \omega$. The action of these quotients is defined by some identifications $(w \approx w')$ of words in the alphabet $U^- \cap \rho_{\nu(p)}$ such that if $w = u_1 \dots u_m$ and $w' = u'_1 \dots u'_n$ then for any $v \in U^{\geq 0} \cap \rho_{\nu(p)}$ and $u_0 \in u_1 \dots u_m v$ there exists $v' \in U^{\geq 0} \cap \rho_{\nu(p)}$ with $u_0 \in v'u_1'u_2' \ldots u_n'$

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Let T be a theory with a type p having the model \mathcal{M}_p , $\mathfrak{P}_{\nu(p)}$ be an $I_{\nu(p)}$ -groupoid, and X be a subset of $\rho_{\nu(p)}$ having a cardinality λ . We say that X is (formula) *definable* if for a realization a of p the set of solutions of $L_{\lambda^+,\omega}$ -formula $\varphi(a, y) = \bigvee_{u \in X} \theta_u(a, y)$ in \mathcal{M}_p

is $L_{\omega,\omega}$ -definable in \mathcal{M}_p by a formula $\psi(a, y)$. In this case we say that the formula $\psi(x, y)$ witnesses definability of X.

A groupoid $\mathfrak{P}_{\nu(p)}$ generates the strict order property if for some definable set $X \subseteq \rho_{\nu(p)}$, for a witnessing formula $\varphi(x, y)$, and for some realizations a and b of p satisfying $\models \theta_{\nu}(b, a)$ with a label $\nu \in \rho_{\nu(p)}$, the inclusion $\varphi(a, \mathcal{M}_p) \subset \varphi(b, \mathcal{M}_p)$ holds.

The following theorem shows that assuming the non-validity of the strict order property (i.e., with NSOP), we can not construct a special monoid $\mathfrak{P}_{\nu(p)}$ being almost deterministic, with bounded cardinalities for products $u_1 \ldots u_m$, or almost absorbing. Hence, these monoids can not be too small or too large with respect to their operations.

THEOREM

If T is a small theory with a type p, and a special monoid $\mathfrak{P}_{\nu(p)}$ is almost deterministic, with a constant C bounding cardinalities of sets $u_1 \ldots u_m$, or almost n-absorbing for some n, then $\mathfrak{P}_{\nu(p)}$ generates the strict order property.

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THEOREM (E. V. Ovchinnikova – S.)

If T is a theory of an acyclic graph $\langle M; Q \rangle$ with some unary predicates, a 1-type p(x), and a deterministic algebra $\mathfrak{P}_{\nu(p)}$, then $\mathfrak{P}_{\nu(p)}$ is generated by a free product $*_{i\in I}\mathbb{Z}_i * *_{j\in J}\mathbb{Z}_{2,j} * *_{k\in K}\langle \omega_k^*; + \rangle$ for some copies \mathbb{Z}_i of group \mathbb{Z} , copies $\mathbb{Z}_{2,j}$ of group \mathbb{Z}_2 , and copies $\langle \omega_k^*; + \rangle$ of monoid $\langle \omega^*; + \rangle$. If there are $\langle \omega_k^*; + \rangle$ then the type p is not isolated.

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PROPOSITION (E. V. Ovchinnikova – S.)

For any theory T of an acyclic graph with bounded diameter and with unary predicates, for a nonempty family R of types in $S^1(T)$ and a regular family $\nu(R)$ of labelling functions, the structure $\mathfrak{P}_{\nu(R)}$ is almost deterministic.

Examples

I. If $|\rho_{\nu(p)}| = 1$ then $(x \approx y)$ is the unique principal formula up to equivalence. It is possible only in the following cases:

(1) T is small (i. e., with countable $S(\emptyset)$) and satisfies some of the following condition:

(a) p(x) is a principal type with the only realization;

(b) p(x) is a non-principal type such that if a set $\{\varphi(a, y) \land \neg(a \approx y)\} \cup p(y)$ is consistent, where $\varphi(x, y)$ is a formula of T, $\models p(a)$, then $\varphi(a, y) \not\vdash p(y)$;

(2) *T* is a theory with continuum many types and for any formula $\varphi(x, y)$ of *T* and for a realization *a* of p(x) if the set $\{\varphi(a, y) \land \neg(a \approx y)\} \cup p(y)$ is consistent and $\varphi(a, y) \vdash p(y)$ then there are no isolating formulas $\psi(a, y)$ such that

$$\psi(a, y) \vdash \varphi(a, y) \land \neg(a \approx y).$$

The case 1,a is represented by a type being realized by a constant; the cases 1,b and 2 are represented by theories of unary predicates with non-principal types p(x) and having countably many and continuum many types respectively.

STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

II. Let $\rho_{\nu(p)} = \{0,1\}$. Then $1^{-1} = 1$ and any realization *a* of *p* is linked with the only realization *b* of *p* for which $\models \theta_1(a, b)$ and, moreover, $\models \theta_1(b, a)$. Then the set of realizations of *p* splits on two-element equivalence classes consisting of θ_1 -edges. If *p* is a principal type of a small theory then a θ_1 -edge is unique, and if *p* is non-principal the number of this edges can vary from 1 to the infinity depending on a model of a theory.

III. Let $\rho_{\nu(p)} = \{-1, 0\}$ be a set for a small theory T. By non-symmetric semi-isolation, the type p(x) is non-principal and the formula $\theta_{-1}(x, y)$ witnesses that SI_p is non-symmetric. The formula $\theta_{-1,-1}(x,y) \rightleftharpoons \exists z(\theta_{-1}(x,z) \land \theta_{-1}(z,y))$ is also witnessing that SI_p is non-symmetric. By assumption the formula $\theta_{-1,-1}(a, y)$ is equivalent to the formula $\theta_{-1}(a, y)$. It means that, on a set of realizations of p, the relation described by the formula $\theta_{-1}(x, y) \lor (x \approx y)$ is an infinite partial order. This partial order is dense since if the element a has a covering element then the formula $\theta_{-1}(a, y)$ is equivalent to the disjunction of consistent formulas $\theta_{-1}(a, y) \wedge \theta_{-1,-1}(a, y)$ and $\theta_{-1}(a, y) \wedge \neg \theta_{-1,-1}(a, y)$, but it is impossible for the principal formula $\theta_{-1}(a, y)$.

We consider, as a theory with $\rho_{\nu(p)} = \{-1, 0\}$, the Ehrenfeucht's theory T, i. e. the theory of a structure \mathcal{M} , formed from the structure $\langle \mathbb{Q}; < \rangle$ by adding constants c_k , $c_k < c_{k+1}$, $k \in \omega$, such that $\lim_{k \to \infty} c_k = \infty$. The type p(x), isolated by the set of formulas $c_k < x, k \in \omega$, has exactly two non-equivalent isolating formulas: $\theta_{-1}(a, y) = (a < y)$ and $\theta_0(a, y) = (a \approx y)$, where $\models p(a)$.

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IV. Let $\rho_{\nu(p)} = \{-1, 0, 1\}$. Realizing this equation, we consider the Ehrenfeucht's example, where each element *a* is replaced by an <-antichain consisting of two elements *a'* and *a''* such that $\models \theta_1(a', a') \land \theta_1(a'', a')$. Then we have the following equations for the type p(x) isolated by the set of formulas $c'_k < x$, $k \in \omega$: $P_p(-1, -1) = P_p(-1, 1) = P_p(1, -1) = \{-1\}, P_p(1, 1) = \{0\}$.

V. The equation $\rho_{\nu(p)} = \{-2, -1, 0\}$ with $P_p(-2, -2) = \{-2\}$ and $P_p(-2, -1) = P_p(-1, -2) = P_p(-1, -1) = \{-1\}$ can be fulfilled by two dense strict orders $<_1$ and $<_2$ on a set of realizations of a non-principal type such that $<_1$ immerses $<_2$: $<_1 \circ <_2 = <_2 \circ <_1 = <_1$.

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VI. Consider a dense linearly ordered set $\mathcal{M} = \langle \mathbb{Q}, < \rangle$, $T = \text{Th}(\mathcal{M})$, and the unique 1-type p of T. Define a labelling function $\nu(p)$, for which 0 corresponds to the formula $(x \approx y)$, 1 to (x < y), and 2 to (y < x). We have $\rho_{\nu(p)} = \{0, 1, 2\}$, $P_p(1, 2) = P_p(2, 1) = \rho_{\nu(p)}$, $P_p(1, 1) = \{1\}$, $P_p(2, 2) = \{2\}$.

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Examples

VII. Take a group $\langle G; * \rangle$ and define, on the set G binary predicates Q_g , $g \in G$, by the following rule:

$$Q_g = \{(a,b) \in G^2 \mid a * g = b\}.$$

If p(x) is a type (of a theory T) realized in any model $\mathcal{M} \models T$ containing G exactly by elements in G connected by definable relations Q_g , then the type p is isolated, the set G is finite, and $\rho_{\nu(p)}$ consists of non-negative elements bijective with elements in G. If $\rho_{\nu(p)}$ consists of non-negative elements, is bijective with G, and the set of realizations of a principal type p is not fixed, then, assuming the smallness of the theory, the set G is infinite and the number of connected components with respect to the relation $Q \rightleftharpoons \bigcup Q_g$ is not bounded. At last if the type p is not isolated $g \in G$

then the number of Q-components on sets of realizations of p is also unbounded although the set G can be finite.

The Cayley table of the group $\langle G; * \rangle$ defines operations $P_p(\cdot, \ldots, \cdot)$ on the set $\rho_{\nu(p)}$ in accordance with links between the relations Q_g .

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VIII. Applying to a concrete group we consider the structure $\mathcal{M} \rightleftharpoons \langle \mathbb{Z}; s^{(1)} \rangle$ with the unary *successor function* $s: \mathbb{Z} \leftrightarrow \mathbb{Z}$, where s(n) = n + 1 for each $n \in \mathbb{Z}$. For the unique 1-type p of the theory $\operatorname{Th}(\mathcal{M})$ the set of pairwise non-equivalent formulas $\theta_u(x, y)$ is exhausted by the list: $y \approx \underbrace{s \dots s}_{n \text{ times}}(x)$ and $x \approx \underbrace{s \dots s}_{n \text{ times}}(y)$, $n \in \omega$. The set $\rho_{\nu(p)}$ consists of non-negative elements linked by additive group of integers.

Examples

IX. We set $T \rightleftharpoons \operatorname{Th}((\mathbb{Q}; <, c_n, c'_n)_{n \in \omega})$, where < is an ordinary strict order on the set \mathbb{Q} of rationals, constants c_n form a strictly increasing sequence, and constants c'_n form a strictly decreasing sequence, $c_n < c'_n$, $n \in \omega$. The theory T has six pairwise non-isomorphic countable models:

• a prime model with empty set of realizations of type p(x) isolated by the set $\{c_n < x \mid n \in \omega\} \cup \{x < c'_n \mid n \in \omega\};$

• a prime model over a realization of p(x), with a unique realization of this type;

• a prime model over a realization of type q(x, y) isolated by the set $p(x) \cup p(y) \cup \{x < y\}$; here the set of realizations of q(x, y) forms a closed interval [a, b];

• three limit models over the type q(x, y), in which the sets of realizations of q(x, y) are intervals of forms (a, b], [a, b), (a, b) respectively.

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Examples

Consider the type q(x, y). Taking the formula $\varphi(x_1, x_2, y_1, y_2)$ defined by $x_1 \leq y_1 < y_2 \leq x_2$ we get

$$\varphi(x_1, x_2, y_1, y_2) \equiv \bigvee_{i=0}^{-3} \theta_i(x_1, x_2, y_1, y_2),$$

where
$$\theta_0(x_1, x_2, y_1, y_2) = (x_1 \approx y_1 < y_2 \approx x_2)$$
,
 $\theta_{-1}(x_1, x_2, y_1, y_2) = (x_1 < y_1 < y_2 \approx x_2)$,
 $\theta_{-2}(x_1, x_2, y_1, y_2) = (x_1 \approx y_1 < y_2 < x_2)$,
 $\theta_{-3}(x_1, x_2, y_1, y_2) = (x_1 < y_1 < y_2 < x_2)$. The following Cayley
table illustrates the algebra of isolating formulas for $q(x, y)$:

S.V. Sudoplatov STRUCTURES OF DISTRIBUTIONS FOR FORMULAS

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X. Consider an arbitrary λ -cube *C*. It is known that all isolating formulas $\theta_u(a, y)$, linking elements in *C*, are represented by $d_k(a, y)$, where *k* is the distance between *a* and *b* for $\models d_k(a, b)$. Assuming that each label *u* is denoted by a natural number, defining that distance, for the unique 1-type *p* and labels $m, n \in \omega$ the set $P_p(m, n)$ consists of all numbers

$$|m+\sum_{i=1}^n (-1)^{\delta_i}|,$$

where each δ_i is equal to 0 or 1. If the cardinality λ is finite then we choose only numbers that do not exceed 2^{λ} .